

FORM PTO-1449

U. S. DEPARTMENT OF
COMMERCE
PATENT AND TRADEMARK
OFFICE

(Rev. 2-32)

Information Disclosure Statement by Applicant

(Use several sheets if necessary)

ATTY. DOCKET NO.

BSI-010US4

SERIAL NO

09/977,826

APPLICANT

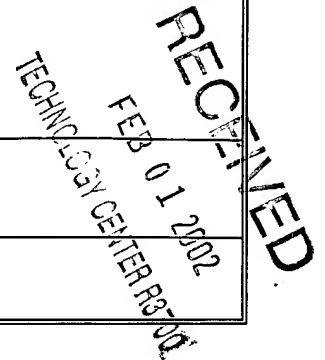
G. Goicoechea, et al.

FILING DATE

October 15, 2001

GROUP

3738



U.S. PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
257	3,500,820	03/17/70	T. H. O. Almen			
	3,868,956	03/04/75	Alfidi et al.			
	3,878,565	04/22/75	Sauvage			
	3,890,977	06/24/75	Wilson			
	3,996,938	12/14/76	Clark, III			
	4,140,126	02/20/79	Choudhury			
	4,149,911	04/17/79	Clabburn			
	4,214,587	07/29/80	Sakura, Jr.			
	4,306,318	12/22/81	Mano et al.			
	4,425,908	01/17/84	Simon			
	4,503,569	03/12/85	Dotter			
	4,512,338	04/23/85	Balko et al.			
	4,553,545	11/19/85	Maass et al.			
	4,560,374	12/24/85	Hammerslag			
257	4,562,596	01/07/86	Kornberg			

FOREIGN PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Country	Class	Sub Class	Translation YES NO
257	0 145 166 B1	06/19/85	EPO			
	0 423 916 A1	04/24/91	EPO			
	0 480 667 A1	04/15/92	EPO			
	0 481 365 B1	06/22/94	EPO (Claims provided in English)			
	0 508 473 A2	10/14/92	EPO			
	0 536 164 B1	03/09/94	EPO			
	0 540 290 A2	05/05/93	EPO			
	0 556 850 A1	08/25/93	EPO			

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

1)	Search Report
2)	Dotter et al., "Transluminal Expandable Nitinol Coil Stent Grafting: Preliminary Report", Technical Developments and Instrumentation, <u>Radiology</u> , Vol. 147, pp. 259-260 (April 1983)
3)	Schetky, "Shape-Memory Alloys", pp. 74-82

FORM PTO-1449 U.S. DEPARTMENT OF
COMMERCE
(Rev. 2-32) PATENT AND TRADEMARK
OFFICE

ATTY. DOCKET NO.

SERIAL NO

BSI-010US4

09/977,826

APPLICANT

G. Goicoechea, et al.

FILING DATE

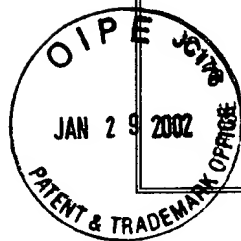
October 15, 2001

GROUP

3738

Information Disclosure Statement by Applicant

(Use several sheets if necessary)



RECEIVED
FEB 01 2002
TECHNOLOGY CENTER R3700

U.S. PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
224	4,577,631	03/25/86	Kreamer			
	4,580,568	04/08/86	Gianturco			
	4,617,932	10/21/86	Kornberg			
	4,649,922	03/17/87	Wiktor			
	4,655,771	04/07/87	Wallsten			
	4,665,906	05/19/87	Jervis			
	4,665,918	05/19/87	Garza et al.			
	4,681,110	07/21/87	Wiktor			
	4,728,328	03/01/88	Hughes			
	4,729,766	03/08/88	Bergentz et al.			
	4,732,152	03/22/88	Wallsten et al.			
	4,733,665	03/29/88	Palmaz			
	4,739,762	04/26/88	Palmaz			
	4,762,128	08/09/88	Rosenbluth			
	4,768,507	09/06/88	Fischell et al.			
	4,772,264	09/20/88	Cragg			
	4,776,337	10/11/88	Palmaz			
	4,800,882	01/31/89	Gianturco			
	4,820,298	04/11/89	Leveen et al.			
	4,830,003	05/16/89	Wolff et al.			
	4,856,516	08/15/89	Hillstead			
	4,878,906	11/07/89	Lindemann et al.			
	4,886,062	12/12/89	Wiktor			
	4,886,065	12/12/89	Collins, Jr.			
	4,913,141	04/03/90	Hillstead			
	4,922,905	05/08/90	Strecker			
	4,969,458	11/13/90	Wiktor			
	4,969,890	11/13/90	Sugita et al.			
	4,994,032	02/19/91	Sugiyama et al.			
	4,994,071	02/19/91	MacGregor			
	5,019,085	05/28/91	Hillstead			
	5,019,090	05/28/91	Pinchuk			
	5,035,706	06/30/91	Gianturco			
	5,037,427	08/06/91	Harada et al.			
	5,041,126	08/20/91	Gianturco			
	5,047,050	09/10/91	Arpesani			
	5,057,092	10/15/91	Webster, Jr.			
	5,064,435	11/12/91	Porter			
	5,067,957	11/26/91	Jervis			
	5,078,726	01/07/92	Kreamer			
	5,078,736	01/07/92	Behl			
	5,085,635	02/04/92	Cragg			
	5,104,399	04/14/92	Lazarus			

FORM PTO-1449

U.S. DEPARTMENT OF
COMMERCE
PATENT AND TRADEMARK
OFFICE

ATTY. DOCKET NO.

SERIAL NO

BSI-010US4

09/977,826

APPLICANT

G. Goicoechea, et al.

FILING DATE

October 15, 2001

GROUP

3738

Information Disclosure
Statement by Applicant

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
WJW	5,104,404	04/14/92	Wolff			
	5,123,917	06/23/92	Lee			
	5,133,732	07/28/92	Wiktor			
	5,135,536	08/04/92	Hillstead			
	5,151,105	09/29/92	Kwan-Gett			
	5,161,547	11/10/92	Tower			
	5,183,085	02/02/93	Timmermans			
	5,192,297	03/09/93	Hull			
	5,201,901	04/13/93	Harada et al.			
	5,207,695	05/04/93	Trout			
	5,236,446	08/17/93	Dumon			
	5,275,622	01/04/94	Lazarus			
	5,282,824	02/01/94	Gianturco			
	5,290,305	03/01/94	Inone			
	5,292,331	03/08/94	Boneau			
	5,304,200	04/19/94	Spaulding			
	5,314,472	05/24/94	Fontaine			
	5,354,309	10/11/94	Schnepp-Pesch			
	5,360,443	11/01/94	Barone			
	5,366,504	11/1994	Anderson et al.			
	5,370,683	12/06/94	Fontaine			
	5,383,928	01/24/95	Scott et al.			
	5,387,235	02/07/95	Chuter			
	5,389,106	02/1995	Tower			
	5,395,349	03/07/95	Quiachon et al.			
	5,397,345	03/14/95	Lazarus			
	5,405,377	04/11/95	Cragg			
	5,415,664	05/16/95	Pinchuk			
	5,419,324	05/30/95	Dillow			
	5,443,496	08/22/95	Schwartz			
	5,443,498	08/22/95	Fontaine			
	5,456,713	10/10/95	Chuter			
	5,484,418	01/16/96	Quiachon et al.			
	5,507,767	04/16/96	Maeda			
WJW	5,507,771	04/16/96	Gianturco			

FORM PTO-1449

U.S. DEPARTMENT OF

COMMERCE

PATENT AND TRADEMARK

OFFICE

(Rev. 2-32)

Information Disclosure
Statement by Applicant

(Use several sheets if necessary)

ATTY. DOCKET NO.

BSI-010US4

SERIAL NO

09/977,826

APPLICANT

G. Goicoechea, et al.

FILING DATE

October 15, 2001

GROUP

3738



RECEIVED
FEB 01 2002
CENTER 700

U.S. PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
2572	5,364,354	11/15/94	Walker et al.			
	5,370,691	12/06/94	Samson			
	5,464,449	11/07/95	Ryan et al.			
	5,489,295	02/06/96	Piplani et al.			
	5,562,726	10/08/96	Chuter			
	5,562,728	10/08/96	Lazarus et al.			
	5,575,817	11/19/96	Martin			
	5,916,263	6/29/99	Goicoechea et al.			
	5,609,627	3/11/97	Goicoechea et al.			
	5,693,086	12/2/97	Goicoechea et al.			
2572	5,683,450	11/4/97	Goicoechea et al.			

FOREIGN PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Country	Class	Sub Class	Translation YES NO
2572	0 551 179 A1	07/14/93	EPO			
	0 579 523 A1	01/19/94	EPO			
	0 621 015 A1	10/26/94	EPO			
	0 621 016 A1	10/26/94	EPO			
	0 622 088 A1	11/02/94	EPO			
	0 646 365 A1	04/05/95	EPO			
	0 656 197 A2	06/07/95	EPO			
	0 657 147 A2	06/14/95	EPO			
	0 662 307 A1	07/12/95	EPO			
	WO89/08433	09/21/89	PCT			
	WO91/07928	06/13/91	PCT			
	WO92/00043	01/09/92	PCT			
	WO93/13825	07/22/93	PCT			
	WO94/17754	08/18/94	PCT (Abstract provided in English)			
	WO95/01761	01/19/95	PCT			
	43 03 181	02/1993	DE			
	2 678 508 A1	01/08/93	France			
	3 918 736 A1	12/13/90	Germany			
	4 303 181 A1	08/11/94	Germany			
	1 491 202	11/09/77	United Kingdom			
	2 106 190 A	04/07/83	United Kingdom			
2572	0 423 619 A1	04/24/91	EPO			
	0 466 518 B1	01/15/92	EPO			

FORM PTO-1449 U.S. DEPARTMENT OF
COMMERCE
(Rev. 2-32) PATENT AND TRADEMARK
OFFICE

Information Disclosure
Statement by Applicant

(Use several sheets if necessary)

ATTY. DOCKET NO.

BSI-010US4

SERIAL NO

09/977,826

APPLICANT

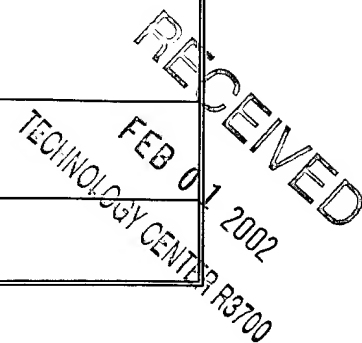
G. Goicoechea, et al.

FILING DATE

October 15, 2001

GROUP

3738



FOREIGN PATENT DOCUMENTS (CONTINUED)

Exmr Initial	Document Number	Date	Country	Class	Sub Class	Translation YES NO
254	2,678,508	1/93	France			

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

4)	K. Otsuka et al., "Shape-Memory Alloys - Pseudoelasticity", <u>Metals Forum</u> , Vol. 4, No. 3, pp. 142-152 (1981)
5)	Cragg et al., "Nonsurgical Placement of Arterial Endoprostheses: A New Technique Using Nitinol Wire", <u>Radiology</u> , Vol. 147, No. 1, pp. 261-263 (April 1983)
6)	Cragg et al., "Percutaneous Arterial Grafting", <u>Radiology</u> , Vol. 150, No. 1, pp. 45-49 (1984)
7)	Cragg, et al., "Stents Vascular Stents", <u>Interventional Radiology</u> , pp. 686-692 (1990)
8)	T.W. Duerig et al., "An Engineer's Perspective of Pseudoelasticity", pp. 369-393

FORM PTO-1449

(Rev. 2-32)

U.S. DEPARTMENT OF
COMMERCE
PATENT AND TRADEMARK
OFFICE

Information Disclosure Statement by Applicant

(Use several sheets if necessary)

ATTY. DOCKET NO.

BSI-010US4

SERIAL NO

09/977,826

APPLICANT

G. Goicoechea, et al.

FILING DATE

October 15, 2001

GROUP

3738

U.S. PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date

FOREIGN PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Country	Class	Sub Class	Translation YES NO
WTH	DE 93 19 267.3 U	04/07/94	Germany			
	EP 734 235	06/22/95	Europe			
	PM 1537	04/06/95	Australia			
	WO 95/16406	06/22/95	PCT			
	WO 95/08966	04/06/95	PCT			
	EP 461 791 A1	12/18/91	Europe			
	EP 539 237 A1	04/28/93	Europe			

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

	Video cassette allegedly showing operation conducted by Geoffrey H. White on November 26, 1993
	Declaration of Geoffrey H. White executed February 18, 2000
	Declaration of Ian L. Gordon executed June 17, 2000
	Declaration of W. Davis dated February 28, 2000
	Declaration of Mark Dehdashtian dated June 16, 2000
	Communication of a Notice of Opposition dated January 26, 2001 for EP 783 873 (including Notice of Opposition)
WTH	Communication of a Notice of Opposition dated January 26, 2001 for EP 783 874 (including Notice of Opposition)

Examiner

WTH

Date Considered

6/24/03

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

3738

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	Will H Met	Date Considered	6/24/03
----------	------------	-----------------	---------

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.